



Express Mail No.: EV 272195205 US
Attorney Docket: AEI VRM USNP1

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

In Re the Application of: Robert M. Porter, Anatoli V. Ledenev, and Gennady G. Gurov

Serial Number: 10/030,379
PCT No.: PCT/US00/18086

Filed: January 2, 2002
International Filing Date: June 30, 2000
Priority Date: July 2, 1999

For: System for Controlling the Delivery of Power to DC Computer Components

Assignee: Advanced Energy Industries, Inc.

STATEMENT UNDER 37 C.F.R. §1.97(b)(3) and MPEP SECTION 609(B1)

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, §1.97, and §1.98, the information listed and attached may be material to the examination of the referenced application. Pursuant to 37 C.F.R. 1.97(b)(3) and Section 609(B1) of the MPEP, no fee is required as this information is submitted prior to a first office action on the merits.

Pursuant to 37 C.F.R. §1.97(g), this Information Disclosure Statement should not be construed as a representation that a search has been made. Additionally, pursuant to 37 C.F.R. §1.97(h), this Statement should not be construed as an admission that the information cited in this statement is or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). A copy of each item is enclosed with a listing similar to PTO Form 1449 for the examiner's convenience.

Dated this 23rd day of September, 2003

Respectfully submitted,
SANTANGELO LAW OFFICES, P.C.

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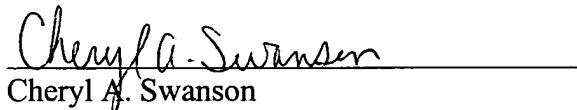
CERTIFICATE OF EXPRESS MAILING

I, Cheryl A. Swanson, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.

2. I have this day deposited the attached Information Disclosure Citation by Assignee (Supplemental) pursuant to 37 C.F.R. 1.97(b)(3) along with a Statement Under 37 C.F.R. §1.97(b)(3) and MPEP §609(B1) and copies of references cited with the United States Postal Service as "Express Mail", postage prepaid, for mailing to Mail Stop GAU 2838, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Dated this 23 day of September, 2003.



Cheryl A. Swanson



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION BY ASSIGNEE (SUPPLEMENTAL)	APPLICATION NO.:	10/030,379
	FILING DATE:	January 2, 2002
	FIRST NAMED INVENTOR:	Porter
	ART UNIT:	2838
	EXAMINER NAME:	
	DOCKET NO.:	AEI VRM USNP1

I. U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO. & KIND CODE (if known)	PUB'N DATE mm-dd-yyyy	PATENTEE OR APPLICANT NAME	CLASS	SUBCLASS	FILING DATE mm-dd-yyyy
	3,628,123	12/14/1971	Rosa et al.	321	9	03/11/1970
	6,023,154	02/08/2000	Martinez	323	272	10/27/1998
	6,144,194	11/07/2000	Varga	323	285	07/13/1998
	6,232,754 B1	05/15/2001	Liebler et al.	323	272	08/15/1999
	6,281,666 B1	08/28/2001	Tressler et al.	323	272	03/14/2000
	6,462,963 B1	10/08/2002	Wittenbreder	363	16	02/12/2002
	6,426,964 B2	10/08/2002	Porter et al.	363	21.01	10/23/2001
	6,583,992 B2	06/14/2003	Porter et al.	363	21.01	08/16/2002

II. FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	Foreign Patent Document Country Code, Number, Kind Code (if known)	PUB'N DATE mm-dd-yyyy	PATENTEE OR APPLICANT NAME	TRANSLATION	
				Yes	No
	WO 02/17469 A1	02/28/2002	Advanced Energy Industries, Inc.		

III. OTHER DOCUMENTS (Including Author (in CAPITAL LETTERS), Title, Date, Pertinent Pages, Etc.)

	International Preliminary Examination Report for PCT/US01/25749, July 10, 2002
	U.S. Patent Application, "Method For Power Conversion Using Combining Transformer", filed 07/08/2003; s/n 10/409,899; Preliminary Amendment 6 pages; Specification 28 pages, drawings 13 pages
	U.S. Patent Application, "Multiple Power Converter System Using Combining Transformers", filed 02/18/2003 s/n Unknown; Preliminary Amendment 52 pages; Specification 73 pages, drawings 22 pages



Zhou X presented by Dr. Fred C. Lee, "Voltage Regulator Module for Future Generation of Processors" Document prepared for the Center for Power Electronics Systems, Virginia Polytechnic Institute and State University, Blacksburg, VA 24061, November 10, 1998	
EXAMINER	DATE CONSIDERED
EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	